

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53744, 54602,  
: 54680, 54681, 54685, 54686,  
: 54687, 54688, 54690, 54692,  
: 54693, 54694, 54695, 54696,  
: 54697, 54698, 54699, 54700,  
: 54701, 54702, 54704

X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                         ) ss.:  
COUNTY OF NEW YORK )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 7, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated February 7, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
8<sup>th</sup> day of February, 2017  
*/s/ Regina Amporfro*

Notary Public, State of New York  
No. 01AM6064508  
Qualified in Bronx County  
Commission Expires September 24, 2017

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

OZ SPECIAL MASTER FUND, LTD  
TRANSFEROR: MERRILL LYNCH INTERNATIONAL  
C/O OCH-ZIFF CAP. MGMT GROUP/C WONG  
9 WEST 57TH STREET, 13TH FLOOR  
NEW YORK, NY 10019

Please note that your claim # 20121-97 in the above referenced case and in the amount of  
\$2,425,283.46 allowed at \$1,313,310.00 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000116032255 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000177633



BANC OF AMERICA CREDIT PRODUCTS, INC  
TRANSFEROR: OZ SPECIAL MASTER FUND, LTD  
ATTN: RYAN WEDDLE / ANTE JAKIC  
C/O BANK OF AMERICA MERRILL LYNCH  
BANK OF AMERICA TOWER - 3RD FLOOR  
ONE BRYANT PARK  
NEW YORK, NY 10036

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 54702 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/07/2017

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 7, 2017.

## **EXHIBIT B**

**Claim Name****Address Information**

BANC OF AMERICA CREDIT PRODUCTS, INC	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD, ATTN: RYAN WEDDLE / ANTE JAKIC, C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMERICA TOWER - 3RD FLOOR, ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD., ATTN: RYAN WEDDLE / ANTE JAKIC, C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMERICA TOWER - 3RD FLOOR, ONE BRYANT PARK, NEW YORK, NY 10036
BANCA AKROS SPA	ATTN: MR. LUCA GANDOLFI, VIA EGINARDO, 29, MILAN 20149 ITALY
BANCA DELLO STATO DEL CANTONE TICINO	TRANSFEROR: BANK JULIUS BAER & CO. LTD., ATTN: SNOZZI E, VIA GEN. H. GUISAN 5, BELLINZONA CH-6501 SWITZERLAND
BANCA FIDEURAM S.P.A.	PIAZZALE GIULLO DOUHET, 31, ROMA 00163 ITALY
BANCA MEDOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA MEDOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA MEDOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA MEDOLANUM SPA	TRANSFEROR: BANCA AKROS SPA, ATTN: HEAD OF OPERATION CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO, MI 20080 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	TRANSFEROR: BANCA MEDOLANUM S.P.A., ATTN: VALERIA VIGANO, VIA ROSELLINI 16, MILANO 20124 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	TRANSFEROR: BANCA MEDOLANUM S.P.A., ATTN: VALERIA VIGANO, PIAZZA SALIMBENI, 3, SIENA 53100 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	ATTN: VALERIA VIGANO, VIA ROSELLINI 16, MILANO 20124 ITALY
BANCO DI DESIO E DELLA BRIANZA S.P.A.	TRANSFEROR: CREDITO EMILIANO S.P.A., ATTN: MAURIZIO MENGANA, VIA ROVAGNATI 1, DESIO (MB) 20832 ITALY
BANK HAPOALIM (SWITZERLAND), LTD.	ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER, STOCKERSTRASSE 33, ZURICH CH-8002 SWITZERLAND
BANK HAPOALIM (SWITZERLAND), LTD.	PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: PATRIK ROOS, BAHNHOFSTRASSE, 36, ZURICH CH-8010 SWITZERLAND
BANK JULIUS BAER & CO. LTD.	ATTN: MICHAEL GERNY, ATTN: PATRIK ROOS, BAHNHOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND
CHIEH-YUAN, CHANG	NO 8 HENG FENG 9TH ROAD, HSING-TIEN, TAIPEI 231 TAIWAN
CHIEH-YUAN, CHANG	NO 8 HENG FENG 9TH ROAD, HSING-TIEN, TAIPEI 231 TAIWAN
COMPAGNIE MONEGASQUE DE BANQUE	23, AVENUE DE LA COSTA, MONACO 98000 MONACO
COUTTS & CO AG	F/K/A RBS COUTTS BANK AG, LERCHENSTRASSE 18, 8045 ZURICH SWITZERLAND
CREDIT INDUSTRIEL ET COMMERCIAL, SINGAPORE BRANCH	TRANSFEROR: CREDIT SUISSE SINGAPORE BRANCH, ATTN: PB TRANSFER TEAM, 12 MARINA BOULEVARD #37-01, MARINA BAY FINANCIAL CENTRE TOWER 3, SINGAPORE 018982 SINGAPORE
CREDIT SUISSE (MONACO) SAM	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE (MONACO) SAM	CRAVATH, SWAINE & MOORE LLP, WORLDWIDE PLAZA, ATTN: RICHARD LEVIN, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (MONACO) SAM	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE AG, HONG KONG BRANCH	TRANSFEROR: DBS BANK LTD, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP, ATTN: STEPHANIE SWEENEY, ESQ., 200 WEST 41ST STREET, 17TH FLOOR, NEW YORK, NY 10036
CREDIT SUISSE AG, SINGAPORE BRANCH	TRANSFEROR: CHIEH-YUAN, CHANG, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS LLP, ATTN: STEPHANIE SWEENEY, ESQ., 200 WEST 41ST STREET, 17TH FLOOR, NEW YORK, NY 10018
CREDIT SUISSE AG, SINGAPORE BRANCH	TRANSFEROR: CHIEH-YUAN, CHANG, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP, ATTN: STEPHANIE SWEENEY, ESQ., 200 WEST 41ST STREET, 17TH FLOOR,

Claim Name	Address Information
CREDIT SUISSE AG, SINGAPORE BRANCH	NEW YORK, NY 10036
CREDIT SUISSE SINGAPORE BRANCH	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SINGAPORE BRANCH	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE SINGAPORE BRANCH	1 RAFFLES LINK, #05-02, SINGAPORE 039393 SINGAPORE
CREDIT SUISSE SINGAPORE BRANCH	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDITO EMILIANO S.P.A.	ATTN: MR. LUCA MARIANI & EFISIO BERTRAND, VIA EMILIA S. PIETRO, 4, REGGIO EMILIA 42100 ITALY
CREDITO EMILIANO S.P.A.	ATTN: EFISIO BERTRAND, VIA EMILIA SAN PIETRO, N. 4, REGGIO EMILIA 42121 ITALY
DANNENBERGER, CHRISTIAN	TRANSFEROR: VR-LIW GMBH, RHUMEWEG 22, BERLIN 14163 GERMANY
DBS BANK LTD	TRANSFEROR: SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH, 2 CHANGI BUSINESS PARK CRESCENT #09-05, LOBBY B DBS ASIA HUB, SINGAPORE 486029 SINGAPORE
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: OFFSHORE ASSET HOLDING VEHICLE A, LTD., C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICHAI DITH, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: OFFSHORE ASSET HOLDING VEHICLE A, LTD., C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICHAI DITH, 60 WALL STREET, NEW YORK, NY 10005
EFG BANK AG	TRANSFEROR: UNION BANCAIRE PRIVEE, UBP SA, QUAI DU SEUJET 24, P.O. BOX 2391, GENEVA 2 1211 SWITZERLAND
FINECOBANK SPA	TRANSFEROR: BANCA FIDEURAM S.P.A., ATTN: RONNY SCIRE, PIAZZA DURANTE, 11, MILANO 20131 ITALY
GOLDMAN SACHS & CO.	TRANSFEROR: JORVIK MULTI-STRATEGY MASTER FUND, L.P., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
HOPPNER, WILHELM-GUSTAV	TRANSFEROR: WALSER PRIVATBANK AG, PIVITSHEIDER STR. 118, LAGE 32791 GERMANY
JORVIK MULTI-STRATEGY MASTER FUND, L.P.	TRANSFEROR: YORK INVESTMENT MASTER FUND, L.P., ATTN: MARGARET MAURO, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
KBL EUROPEAN PRIVATE BANKERS SPAIN	TRANSFEROR: CREDIT SUISSE (MONACO) SAM, SUCURSAL EN ESPANA, SERRANO, 57 PLANTA 6A, MADRID CIF-W0182154E 28006 ESPANA
KBL MONACO PRIVATE BANKERS	TRANSFEROR: COMPAGNIE MONEGASQUE DE BANQUE, 8 AVENUE DE GRANDE BRETAGNE - BP 262, MONACO CEDEX, MC 98005 MONACO
OFFSHORE ASSET HOLDING VEHICLE A, LTD.	TRANSFEROR: SPCP GROUP, LLC, ATTN: BRADFORD TOBIN, 2 GREENWICH PLAZA, GREENWICH, CT 06830
OFFSHORE ASSET HOLDING VEHICLE A, LTD.	TRANSFEROR: SPCP GROUP, LLC, ATTN: BRADFORD TOBIN, 2 GREENWICH PLAZA, GREENWICH, CT 06830
OZ SPECIAL MASTER FUND, LTD	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, C/O OCH-ZIFF CAP. MGMT GROUP/C WONG, 9 WEST 57TH STREET, 13TH FLOOR, NEW YORK, NY 10019
OZ SPECIAL MASTER FUND, LTD.	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE, C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP, ATTN: CINDY KWOK, 9 WEST 57TH STREET, 13TH FLOOR, NEW YORK, NY 10019
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: COUTTS & CO AG, ATTN: CORPORATE ACTIONS DEPARTMENT, RUE DU RHONE 96-98, CASE POSTALE 1320, GENEVA 1 1211 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: RBS COUTTS BANK AG, 96-98 RUE DU RHONE, GENEVA 1211 SWITZERLAND
VENETO BANCA SCPA	TRANSFEROR: BANCA MEDIOLANUM S.P.A., ATTN: SEMENZIN EMANUELA, PIAZZA G.B. DALL'ARMI 1, MONTEBELLUNA, TV 31044 ITALY
VR-LIW GMBH	GUNNAR MANGEL-GENERAL MANAGER, GABELSBERGERSTRASSE 1A, HAMM 59069 GERMANY
WALSER PRIVATBANK AG	TRANSFEROR: NEUE AARGAUER BANK AG, WERTPAPIERSERVICE, WALSERSTRABE 61, RIEZLERN 6991 AUSTRIA

Total Creditor Count 54